Approximation Algorithms for the Bottleneck Asymmetric Traveling Salesman Problem

Hyung-Chan An Robert D. Kleinberg David B. Shmoys

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- Given $n$ vertices and costs between them
- Find a Hamiltonian cycle over these $n$ vertices
- Minimizing the bottleneck (or maximum-edge) cost


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- Given $n$ vertices and costs between them
- Find a Hamiltonian cycle over these $n$ vertices
- Minimizing the bottleneck (or maximum-edge) cost
- Asymmetric
- $c(a, b)$ need not equal to $c(b, a)$
- Metric cost
- $c(x, z) \leq c(x, y)+c(y, z)$
- $c$ defined over the complete graph
- NP-hard


## The Four Variants

|  | Bottleneck | Min-Sum |
| :--- | :--- | :--- |
| Asymmetric |  |  |
| Symmetric |  |  |

${ }^{1}$ [Asadpour, Goemans, Mądry, Oveis Gharan and Saberi 10], [Christofides 76], [Fleischner 74], [Frieze, Galbiati and Maffioli 82], [Lau 81], [Parker and Rardin 84]

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| Asymmetric |  | $O(\log n / \log \log n)$ |
| Symmetric | 2 | $O(\log n)$ |
|  |  | $3 / 2$ |

- No nontrivial performance guarantee known

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- This paper ${ }^{\dagger}$

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## Intro: Combinatorial Problem

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Given $H=(V, A)$, either

- find a Hamiltonian cycle in $\mathrm{H}^{\rho}$, or
- conclude that H is non-Hamiltonian;
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Entire graph


H

$\tau=10$

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$H^{2}$

$\tau=12$

- OPT $\geq \tau$


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$\tau=12$

- OPT $\geq \tau$
- Costs of added arcs $\leq 2 \tau$
- $\mathrm{ALG} \leq 2 \tau$


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- Techniques invented in min-sum version not amenable


## Intro: Comparison to the Min-Sum Asymmetric Case

- Approximation algorithms
- Find a spanning Eulerian circuit with bounded cost
- Shortcut the circuit to obtain a Hamiltonian cycle
- Eulerian circuit $\begin{array}{llr} & v_{1}-v_{2}-v_{3}-v_{2}-v_{1}-v_{4}-v_{1} \\ \text { After shortcutting } & v_{1}-v_{2}-v_{3}-r \\ v_{4}-v_{1}\end{array}$
- Path $v_{3}-v_{2}-v_{1}-v_{4}$ is shortcut into a direct arc $\left\langle v_{3}, v_{4}\right\rangle$


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- Shortcutting a circuit does not increase its cost


## Intro: Comparison to the Min-Sum Asymmetric Case

- Shortcutting may increase objective in bottleneck setting


$$
\begin{array}{lllll}
\text { Circuit } & 1-3-2-1-3-2-1 & \text { cost } 1 \\
\text { Circuit } 1 & -2 & -3 & -1 & \text { cost } 2
\end{array}
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- Shortcutting becomes the critical step that determines solution cost
- We devise bounded length shortcutting lemma
- Constructive proof


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- Determine some $\tau^{*}$ such that $H$ is non-Hamiltonian for all $\tau<\tau^{*}$
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- Degree-bounded spanning circuit $k=\lceil 4 \alpha\rceil$
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( $2 k-1$ )-approx. alg. lemma


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## Preliminaries

- Held-Karp relaxation [HK70]

For $G=(V, A)$,

$$
\begin{aligned}
& \begin{cases}\sum_{a \in \delta^{+}(\{v\})} x_{a}=\sum_{a \in \delta^{-}(\{v\})} x_{a}=1 & \forall v \in V \\
\sum_{a \in \delta^{+}(S)} x_{a} \geq 1 & \forall S \subsetneq V, S \neq \emptyset \\
x \geq 0\end{cases} \\
& x \in \mathbb{R}^{A}
\end{aligned}
$$

- Relaxation: provides certificate
- Polynomial-time solvable


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## Definition ([AGM ${ }^{+10])}$

$T$ is $\alpha$-thin if the weight of every cut in $T$ is at most $\alpha$ times its weight in $G$.

$G=(V, E), z \in \mathbb{R}^{E}$

$T$

- An $\alpha$-thin tree can be considered as a succinct representation of $\alpha$-approx. lower-bounds of cut weights


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- An $\alpha$-thin tree can be considered as a succinct representation of $\alpha$-approx. lower-bounds of cut weights
- $1+1+1 \leq 2 \cdot(0.3+0.3+0.4+0.6)=2 \cdot 1.6$
- Given $T$ is 2 -thin w.r.t. $z$.


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- $x^{*}$ : extreme point solution to HK relaxation
- $z_{u v}^{*}:=x_{u v}^{*}+x_{v u}^{*}$


## Theorem ([AGM ${ }^{+10]}$ )

There exists a probabilistic algorithm that produces an $\alpha$-thin tree $T$ with respect to $z^{*}$ with high probability, for $\alpha=\frac{4 \ln n}{\ln \ln n}$.

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## Algorithm: Bounded Length Shortcutting Lemma

- $v_{1}, \ldots, v_{m}, v_{1}$ : a (non-simple) spanning circuit on $G$, partitioned into $P_{1}=v_{1} \ldots v_{k}, P_{2}=v_{k+1} \ldots v_{2 k}, \ldots$, $P_{\ell}=v_{(\ell-1) k+1} \ldots v_{m}$.


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## Example

Proof


Circuit 1-2-3-4-3-2-1 on G,
$k=2$
$P_{1}=12, P_{2}=34, P_{3}=32$

- Union of $P_{2}$ and $P_{3}$ contains 3(>2) distinct vertices.


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## Proof

- $\left\{P_{1}, \ldots, P_{\ell}\right\}$ has a transversal: can choose one vertex from each $P$ with no duplicates.
- Take any subsequence containing every vertex exactly once and including the transversal
- Any two contiguous vertices in this subsequence are $\leq 2 k-1$ arcs apart


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- Take any subsequence containing every vertex exactly once and including the transversal
- Any two contiguous vertices in this subsequence are $\leq 2 k-1$ arcs apart
- Polynomial time


## Algorithm: Bounded Length Shortcutting Lemma <br> Lemma

$v_{1}, \ldots, v_{m}, v_{1}$ : a (non-simple) spanning circuit on $G$, partitioned into
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If, for all $t$, the union of any $t$ sets in $\left\{P_{1}, \ldots, P_{\ell}\right\}$ contains at least $t$ distinct vertices, $G^{2 k-1}$ is Hamiltonian.
Definition
A degree-bounded spanning circuit with bound $k$ is a circuit that visits every vertex at least once and at most $k$ times.

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- For any $t$ sets in $\left\{P_{1}, \ldots, P_{\ell}\right\}$, sum of their cardinalities is strictly greater



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## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- $x^{*}$ : HK solution, $z^{*}$ unoriented version
- $T$ : $\alpha$-thin tree w.r.t. $z^{*}, T_{\rightarrow}$ : directed version of $T$


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- (1) is feasible [AGM ${ }^{+} 10$ ]
- Need an integral solution
- Highest degree vertex in the support of HK extreme point solution can have $\Theta(n)$ indegree and outdegree
- Simple round-up does not work


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- $x^{*}$ : HK solution, $z^{*}$ unoriented version
- $T: \alpha$-thin tree w.r.t. $z^{*}, T_{\rightarrow}$ : directed version of $T$
- Circulation problem

$$
\begin{align*}
& I(a)= \begin{cases}1 & \text { if } a \in T_{\rightarrow} \\
0 & \text { otherwise }\end{cases} \\
& u(a)= \begin{cases}2 \alpha x_{a}^{*}+1 & \text { if } a \in T_{\rightarrow} \\
2 \alpha x_{a}^{*} & \text { otherwise. }\end{cases} \tag{1}
\end{align*}
$$

- (1) is feasible [AGM ${ }^{+} 10$ ]
- Need an integral solution
- Highest degree vertex in the support of HK extreme point solution can have $\Theta(n)$ indegree and outdegree
- Simple round-up does not work
- Vertex capacities are introduced


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Circulation problem instance (cont'd)
- Vertex capacities are introduced



## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Circulation problem instance (cont'd)
- Vertex capacities are introduced

- Feasibility unchanged
- Contracting split vertices yields solution to original instance


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Circulation problem instance (cont'd)
- Vertex capacities are introduced

- Feasibility unchanged
- Contracting split vertices yields solution to original instance
- Round up the upper bounds: introduces $<1$ error (degree can be bounded by $\left\lceil u_{4}+u_{5}+u_{6}\right\rceil$, not $\left.\left\lceil u_{4}\right\rceil+\left\lceil u_{5}\right\rceil+\left\lceil u_{6}\right\rceil\right)$
- Want: sum of outgoing upper bounds bounded by $O(\log n / \log \log n)$


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Bounding fractional outdegree

$$
u(a)= \begin{cases}2 \alpha x_{a}^{*}+1 & \text { if } a \in T_{\rightarrow} \\ 2 \alpha x_{a}^{*} & \text { otherwise }\end{cases}
$$

- Upper bound is the sum of Held-Karp solution scaled by $2 \alpha$ and tree-induced lower bound


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Bounding fractional outdegree

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- Fractional outdegree of HK solution is exactly 1


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Bounding fractional outdegree

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$$

- Upper bound is the sum of Held-Karp solution scaled by $2 \alpha$ and tree-induced lower bound
- Fractional outdegree of HK solution is exactly 1
- Fractional degree of the unoriented version is exactly 2
- Maximum degree of $\alpha$-thin tree is bounded by $2 \alpha$ by the thinness of singleton cuts


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

- Bounding fractional outdegree

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- Upper bound is the sum of Held-Karp solution scaled by $2 \alpha$ and tree-induced lower bound
- Fractional outdegree of HK solution is exactly 1
- Fractional degree of the unoriented version is exactly 2
- Maximum degree of $\alpha$-thin tree is bounded by $2 \alpha$ by the thinness of singleton cuts
- Thus, the fractional degree is bounded by $4 \alpha=O(\log n / \log \log n)$.


## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

Lemma
Given an $\alpha$-thin tree w.r.t. to unoriented HK solution, a degree-bounded spanning circuit with bound $\lceil 4 \alpha\rceil$ can be found in polynomial time.

## Algorithm: Constructing Deg.-Bdd. Spanning Circuit

Lemma
Given an $\alpha$-thin tree w.r.t. to unoriented HK solution, a degree-bounded spanning circuit with bound $\lceil 4 \alpha\rceil$ can be found in polynomial time.

Lemma
Given a degree-bounded spanning circuit on $G$ with bound $k$, a Hamiltonian cycle in $G^{2 k-1}$ can be found in polynomial time.

## Theorem

There exists a probabilistic $O\left(\frac{\log n}{\log \log n}\right)$-approximation algorithm for the bottleneck asymmetric TSP under a metric cost.

## Open Question

## Open Question

## Question <br> When $G$ has a feasible Held-Karp relaxation, is $G^{2}$ Hamiltonian?

Thank you.


[^0]:    ${ }^{1}$ [Asadpour, Goemans, Mądry, Oveis Gharan and Saberi 10], [Christofides 76], [Fleischner 74], [Frieze, Galbiati and Maffioli 82], [Lau 81], [Parker and Rardin 84]

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